

PLAGIARISM CHECK GUIDELINES

(As Amended in accordance with the UGC Notification on University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018)

1. Preamble

University of Technology, Vatika, Jaipur is established by the Govt. of Rajasthan through State Legislature under the Act No 28 of 2017. It is UGC recognized University under section 2(f) of the UGC Act 1956.

The University of Technology is known for offering quality education to students coming from varied backgrounds, across different learning segments and knowledge disciplines.

University of Technology, Jaipur offers research Programs leading to the award of the Ph.D. degree. The award of this degree is in recognition of high academic achievements, independent research and application of knowledge to the solution of technical and scientific problems in the various disciplines such as Basic & Applied Sciences, Engineering & Technology, Computer Application, Commerce & Management, Pharmacy, Physiotherapy, Yoga & Naturopathy, Education, Law, Humanities & Social Sciences and Agriculture

The University started Ph.D programmes w.e.f. the Academic Session 2017-18. The University has framed guidelines to check plagiarism in compliance with the University Grants Commission Regulations. The provisions of the UGC Regulations are applicable in all the cases.

A researcher is required to have some ethical and moral values in his academic pursuit. The researcher is expected to produce and submit the original and genuine work. In the present era, tons of information is available on internet and online as source material. In this context, plagiarism has become a serious problem. It is, therefore, necessary for any reputed and prestigious university to formulate well defined Guidelines to check menace of plagiarism. Accordingly, University of Technology, Vatika, Jaipur has framed the following guidelines:

2. Definition:

‘Plagiarism’ is the unacknowledged use of another person’s work as one’s own work. Plagiarism involves copying of Phrases, clauses, sentences, paragraphs, or longer extracts from published or unpublished work including from internet without acknowledgement of the source.

Methods of Plagiarism:

- (a) Quoting directly another person's language, data, illustration, tables, etc. without due acknowledgement of the source.
- (b) Copying a section of book/article/report/monograph/dissertation/thesis without proper citation.
- (c) Buying, stealing or borrowing assignments, experiments/results.
- (d) Paragraphing the work of others without due acknowledgements.
- (e) Using ideas of someone else without crediting the originator.
- (f) Copying, cutting and pasting from the internet or online source and submitting as one's ownwork without giving proper reference/citation.

Self-Plagiarism

Copying/reproducing, in part or whole one's own published work without giving proper referenceof that work.

3. Golden Rule to avoid the Plagiarism:

- (a) Always give the due credit to the original author (s) and give the proper citation and properreference.
- (b) Place the sentence in inverted commas, if you quote text verbatim.

4. Detection of Plagiarism:

- (a) Candidate shall submit the report generated on the plagiarism checking software like Ouriginal/ Urkund/Turnitin of his draft thesis along with a soft copy of the draft thesis for the consideration of Research Advisory Committee.
- (b) He shall submit soft copy along with an application form (Annexure-I)
- (c) He shall also submit an affidavit (Annexure -II) of plagiarism free and original Ph.D. research work

5. Similarity checks for exclusion from Plagiarism

The similarity checks for plagiarism shall exclude the following:

- i. All quoted work reproduced with all necessary permission and/or attribution.
- ii. All references, bibliography, table of content, preface and acknowledgements.
- iii. All generic terms, laws, standard symbols and standards equations.

Note:

The research work carried out by the student, faculty, researcher and staff shall be based on original ideas, which shall include abstract, summary, hypothesis, observations, results, conclusions and recommendations only and shall not have any similarities. It shall exclude a common knowledge or coincidental terms, up to fourteen (14) consecutive words.

6. Levels of Plagiarism

Plagiarism would be quantified into following levels in ascending order of severity for the purpose of its definition:

- i. Level 0: Similarities up to 10% - Minor similarities, no penalty
- ii. Level 1: Similarities above 10% to 40%
- iii. Level 2: Similarities above 40% to 60%
- iv. Level 3: Similarities above 60%

7. Detection/Reporting/Handling of Plagiarism

If any member of the academic community suspects with appropriate proof that a case of plagiarism has happened in any document, he or she shall report it to the University Academic Integrity Panel (UAIP). Upon receipt of such a complaint or allegation the UAIP shall investigate the matter.

The authorities of University can also take *suomotu* notice of an act of plagiarism and initiate proceedings under these regulations.

8. University Academic Integrity Panel (UAIP)

- i. University shall notify a UAIP whose composition shall be as given below:
 - a. Chairman - President /Pro-President/Dean/Senior Professor of the University.
 - b. Member - Senior Professor other than Chairman, to be nominated by the President.
 - c. Member - One member nominated by the President from Professor/ Associate Professor of the University
 - d. Member - A person well versed with anti-plagiarism tools, to be nominated.
- ii. The UAIP shall have the power to assess the level of plagiarism and recommend penalty (ies) accordingly.
- iii. The UAIP shall also investigate cases of plagiarism as per the provisions mentioned in these regulations.

- iv. The UAIP shall follow the principles of natural justice while deciding about the allegation of plagiarism against the student, faculty, researcher and staff of University.
- v. The UAIP shall send the report after investigation and the recommendation on penalties to be imposed to the President within a period of 45 days from the date of receipt of complaint / initiation of the proceedings.
- vi. The UAIP shall provide a copy of the report to the person(s) against whom inquiry report is submitted.

9. Procedure for reconciliation of plagiarism reports

- (1) If a draft thesis is found plagiarized and is reported to Research Advisory Committee then the RAC shall call the candidate to hear the candidate's view. On hearing the candidate, the Research Advisory Committee shall submit its recommendations keeping in view of the following guidelines:

- (a) The similarity between documents is within the limit (not more than 10%) for putting some original results in proper context and all original sources are correctly cited. The similarity is not of such nature which directly affects the original findings of research. No further action is required. Candidate may be permitted to submit the thesis.
- (b) **Self-plagiarism:** "Regarding self plagiarism or cases where published work of the student is shown Plagiarism in the check, a certificate (Self Plagiarism Exclusion Certificate) has to be issued by the supervisor specifying and attaching the articles that have been published by the student from the thesis work. Only these articles should be excluded from the check, no other article of student or supervisor should not be excluded from the check."

Similarity contents are from candidate's previous published work without proper citation. Candidate will be required to resubmit the work with proper citations. If the published work is co-authored by others, the researcher shall submit a consent letter from co-author(s) and publisher permitting him to use the work in his thesis.

- (c) **Low- level plagiarism:** When the plagiarism is a result of negligence or without intent to cheat and the similarity between documents marginally outside the limit, the candidate may be allowed to resubmit the work with proper citations.
- (d) **Mid- level Plagiarism:** Failure to cite proper citations, copying few paragraphs only. Intent to cheat is very low and may be due to lack of knowledge. The similarity between documents is outside the limit. The candidate may be allowed to resubmit the work with proper citation and with a warning not to repeat the mistake again otherwise registration in the programme will be cancelled.
- (e) **High- level plagiarism:** Deliberate and planned attempt to copy the work done by someone else. Large amount of data taken from someone else's work, art work

copying, source code copying etc. Intention to cheat is very clear. The candidate's registration may be cancelled.

- (2) The thesis resubmitted as recommended by the Research Advisory Committee in view of clauses (1)(a) to (d), will be again placed before Research Advisory Committee and the candidate will also be required to be present to substantiate the necessary improvements made by him in the thesis.

10. Plagiarism reported after the of Award of Degree

- (a) In case, any plagiarism is reported to the University when a Ph.D. degree has already been awarded, the President may constitute an expert committee to enquire into charges of plagiarism and the committee will submit its report with recommendations.
- (b) The expert committee may give the opportunity of hearing to the concerned parties as it deems fit.
- (c) The President will place the report along with recommendations of the committee before the Academic Council for its consideration and action.

11. Penalties

Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the level of Masters and Research programs and on researcher, faculty & staff of the University only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been exhausted and individual in question has been provided enough opportunity to defend himself or herself in a fair or transparent manner.

Penalties in case of plagiarism in submission of thesis and dissertations

University Academic Integrity Panel (UAIP) shall impose penalty considering the severity of the Plagiarism.

- i. **Level 0: Similarities up to 10%** - Minor Similarities, no penalty.
- ii. **Level 1: Similarities above 10% to 40%** - Such student shall be asked to submit a revised script within a stipulated time period not exceeding 6 months.
- iii. **Level 2: Similarities above 40% to 60%** - Such student shall be debarred from submitting a revised script for a period of one year.
- iv. **Level 3: Similarities above 60%** - Such student registration for that programme shall be cancelled.

Note 1: Penalty on repeated plagiarism

Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is

committed then the punishment for the same shall be operative.

Note 2: Penalty in case where the degree/credit has already been obtained

If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the UAIP and approved by the President.

Penalties in case of plagiarism in academic and research publications

I. Level 0: Similarities up to 10% - Minor similarities, no penalty.

II. Level 1: Similarities above 10% to 40%

(i) Shall be asked to withdraw manuscript.

III. Level 2: Similarities above 40% to 60%

i. Shall be asked to withdraw manuscript.

ii. Shall be denied a right to one annual increment.

iii. Shall not be allowed to be a supervisor to any new Master's, M.Phil. Ph.D. Student/scholar for a period of two years.

IV. Level 3: Similarities above 60%

i. Shall be asked to withdraw manuscript.

ii. Shall be denied a right to two successive annual increments.

iii. Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of three years.

Note 1: Penalty on repeated plagiarism

Shall be asked to withdraw manuscript and shall be punished for the plagiarism of one level higher than the lower level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative. In case level 3 offence is repeated then the disciplinary action including suspension/termination as per service rules shall be taken by the University.

Note 2: Penalty in case where the benefit or credit has already been obtained

If plagiarism is proved on a date later than the date of benefit or credit obtained as the case may be then his/her benefit or credit shall be put in abeyance for a period recommended by UAIP and approved by the President.

Note 3: University shall create a mechanism so as to ensure that each of the paper publication/thesis/dissertation by the student, faculty, researcher or staff of the University is checked for plagiarism at the time of forwarding/submission.

Note 4: If there is any complaint of plagiarism against the President, a suitable action, in line with these regulations, shall be taken by the Controlling Authority of the University.

Note 5: If there is any complaint of plagiarism against the Head of Department/Authorities at the institutional level, a suitable action, in line with these regulations, shall be recommended by the UAIP and approved by the Competent Authority.

Note 6: If there is any complaint of plagiarism against any member of UAIP, then such member shall excuse himself / herself from the meeting(s) where his/her case is being discussed/investigated.

Instructions for Submission of Ph. D. Thesis in soft copy. (PDF)

Part-I : For Plagiarism Checking-(At the time Pre-Submission)

Scholars are requested to note that the following guidelines have been framed to avail of the plagiarism check services in the library/Research department of University of Technology, Vatika, Jaipur which is using "OURIGINAL" plagiarism checker software to get the percentage of similar contents already published in various online information sources. While submitting the soft copy of the doctoral thesis for check with plagiarism prevention software, the research scholars and supervisors are solicited to consider and strictly adhere to the following regulations:

1. The CD/Pen drive containing the soft copy of the thesis has to be in the searchable PDF format.
2. Abstract and all chapters be in a single PDF excluding the cover page, certificates, declaration, acknowledgement, table of content/Index, list of tables, list of figures, list of symbols, list of abbreviations and references/bibliography, appendices and questionnaire [vide section 7.ii of UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018] in electronic form shall be shared by scholar for plagiarism check.
3. The contents of the submitted thesis for plagiarism check should be the same as the contents of the thesis which is going to be finally submitted to the University of Technology for the award of a doctoral degree. The maximum document length is 400 pages, so it should not exceed 400 pages.
4. The library/research department access to anti-plagiarism software "OURIGINAL" to the research scholar who is submitting a doctoral thesis to the University of Technology. It is the responsibility of the research scholar and the supervisor of the research contributions.
5. In case the percentage of similar contents is beyond the limit or any plagiarized content is detected, the researcher has to revise the contents under the supervision of the guide, so as to ensure the originality of the research contribution.

6. The researchers are informed to acknowledge the right authors/sources providing the text within quotes. Uniformity and consistency be maintained in rendering bibliographic references.
7. An accepted standard format (like APA, Chicago Manual, MLA, etc.) has to be followed for rendering references and bibliography
8. A summary of the similarity report will be sent to the email ID of the researchers. The researchers have to contact the concerned library/research department for getting the scanned copy for perusal and rectification.
9. The researchers and the supervisors have to revise and resubmit the thesis in case the percentage of similar content detected is beyond the tolerance limit.
10. A certificate has to be issued by the Supervisor specifying and attaching the articles that have been published by the student from thesis work regarding self-plagiarism or cases where published work of the student is shown as plagiarism in the check. Only these articles should be excluded from the check. No other article of the Supervisor or the student should be excluded from the check. This will be for reference to the library/research department which will perform the final check.
11. Plagiarism report must be certified / verified by the Research Guide
12. A candidate can apply two times for plagiarism checking for Ph.D. Thesis/Research Paper for free of cost, after that a nominal charge Rs 5000/- will be applicable to check plagiarism each time.
13. The candidates should write his/her name and department on CD/Pen Drive without fail.

Part-II: For uploading thesis on Shodhganga: Arrange the Ph.D. thesis files in the following sequence and in the PDF format for final submission in soft copy.

| PDF Name | Content |
|---------------------|-------------------------------------------------------------------------------------------------------------|
| 01_Title.pdf | Title Page |
| 02_Prelim pages.pdf | Title+ Declaration +Dedication +Certificates + Acknowledgement + List of tables+ List of figures and graphs |
| 03_Contents.pdf | Contents |
| 04_Abstract.pdf | Abstract |
| 05_Chapter 1.pdf | First Chapter |
| 06_Chapter 2.pdf | Second Chapter |
| 07_Chapter..... | Chapter... |
| 08_Chapter..... | Chapter... |

| | |
|------------------------|---------------------------------------------------------------------------------------------------|
| 09_Chapter..... | Chapter... |
| 10_Annexures.pdf | Bibliography + References + Questionnaires + Maps + Publications + Conferences certificates |
| 80_Recommendations.pdf | Recommendations/ Conclusion/Summary/ Further findings |
| 90_Plagiarism.pdf | Plagiarism Report |

Include all the chapters contained in the thesis in sequence as per original thesis.

The above instructions are mandatory for the final submission of the Ph.D. thesis.

Part-III -Formats of Applying for Plagiarism Check (downloadable)

- 1) Affidavit regarding Originality of Research work
- 2) Self Plagiarism Exclusion Certificate from Supervisor

Important Note: After submission of softcopy for plagiarism check, report will be issued after Seven (07) days.

(To be submitted on a RS. 100/- Non-Judicial Stamp Paper dully notarized)

Affidavit

I _____ S/O,D/O,W/O Shri _____
R/O _____ Mobile No. _____ do
hereby solemnly affirm and declare as under :

1. That I a Ph.D. Student of _____ (Subject) Batch _____
University of Technology, Vatika, Jaipur under Reg. /Enrollment
No. _____ certified that the research work presented in this thesis
entitled _____ “ embodies the results of
original research work carried out by me and that neither any part of the thesis nor the
whole of the thesis has been submitted for the award of any other diploma or degree in
any other University.
2. I have not worked in any Govt. or Private institution during the Ph.D. Period or I had
taken the NOC from concerned department for doing Ph.D. Degree in regular mode
(Full/Part Time).
3. I declare, to the best of my knowledge that my thesis work is free/within limits of any
kind of plagiarism and does not breach upon anyone’s ideas, techniques, copyright or
quotations. The materials from the work of other people which has been included in my
study have been acknowledged according to the standard reference practices.
4. That if after checking my thesis for plagiarism by any standard plagiarism checking
software, is found copied or come under plagiarism, I will be solely responsible for it
and University shall have sole right to cancel my research work/Registration
5. During the Research Period from the date of Registration I have not enrolled in any
other Institute/ University for any Degree/Diploma.
6. I further say that if any copy paste is found anywhere in my thesis at a later stage, the
thesis can be cancelled and the degree withdrawn and I shall be responsible for any
legal dispute/case(s) for violation of any provisions of the Copyright Act or any other
law.

Deponent

Declaration

I do hereby declare and confirm that all the above statements are true and correct to the
best of my knowledge.

Dated _____
Place _____

Deponent

University of Technology, Vatika, Jaipur

Self Plagiarism Exclusion Certificate from Supervisor

The content of the chaptershave been
published in

- 1.
- 2.
- 3.
- 4.

This published work has been included in the thesis and has not been submitted for any
degree to any University/Institute.

Signature of the Candidate

Signature of Supervisor